


<div style="display: flex; justify-content: space-between;"><div style="width: 30%;"><p>08/27/99 1675 U.S. PTO</p></div><div style="width: 65%; text-align: center;"><b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <b>(Large Entity)</b> <i>Only for new nonprovisional applications under 37 CFR 1.53(b))</i></div></div> <div style="text-align: center; margin-top: 10px;"><b>TO THE ASSISTANT COMMISSIONER FOR PATENTS</b> Box Patent Application Washington, D.C. 20231</div>	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;">Docket No. MP/147</div> <div style="border: 1px solid black; padding: 5px;">Total Pages in this Submission 31</div>
<p>Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:</p> <div style="border: 1px solid black; padding: 5px; min-height: 40px;"><b>AN IMPROVED BALLOON CATHETER AND METHOD OF MOUNTING SAME</b></div> <p>and invented by:</p> <div style="border: 1px solid black; padding: 5px; min-height: 40px;"><b>ALVARO J. LAGUNA</b> <b>CAREY V. CAMPBELL</b></div>	
<p><b>If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:</b></p> <p><input checked="" type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____</p> <p>Which is a:</p> <p><input checked="" type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____</p> <p>Which is a:</p> <p><input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____</p>	
<p><b>Enclosed are:</b></p> <div style="text-align: center; margin: 10px 0;"><b>Application Elements</b></div> <ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> Filing fee as calculated and transmitted as described below</li><li>2. <input checked="" type="checkbox"/> Specification having <u>26</u> pages and including the following:<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Descriptive Title of the Invention</li><li>b. <input type="checkbox"/> Cross References to Related Applications <i>(if applicable)</i></li><li>c. <input type="checkbox"/> Statement Regarding Federally-sponsored Research/Development <i>(if applicable)</i></li><li>d. <input type="checkbox"/> Reference to Microfiche Appendix <i>(if applicable)</i></li><li>e. <input checked="" type="checkbox"/> Background of the Invention</li><li>f. <input checked="" type="checkbox"/> Brief Summary of the Invention</li><li>g. <input checked="" type="checkbox"/> Brief Description of the Drawings <i>(if drawings filed)</i></li><li>h. <input checked="" type="checkbox"/> Detailed Description</li><li>i. <input checked="" type="checkbox"/> Claim(s) as Classified Below</li><li>j. <input checked="" type="checkbox"/> Abstract of the Disclosure</li></ol></li></ol>	

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets \_\_\_\_\_
- b. ☒ Informal Number of Sheets 5
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL369197212US

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

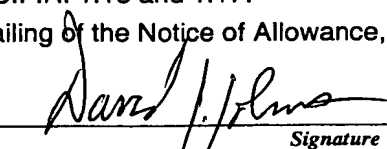
16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	42	- 20 =	22	x \$18.00	\$396.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$760.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,156.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 07-1729 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,156.00 as filing fee.
  - ☒ Credit any overpayment.
  - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
  - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature  
David J. Johns  
Reg No. 31,527  
W.L. Gore & Associates, Inc.  
551 Paper Mill Road  
P.O. Box 9206  
Newark, DE 19714-9206  
(520) 526-3030

Dated: August 27, 1999

cc:

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*Elaine Silashki*

(date of mailing document)